

Building the
future of wealth
technology, together

Tegra118 Model Management Solution

Single Platform Functionality and Unmatched
Connectivity to Drive Business Growth

Expand the distribution of your investment products efficiently across the wealth management market through the industry's widest network of broker-dealer sponsor firms and custodians. Leverage a single platform for portfolio management, trading, accounting and performance reporting and scale your business.

Tegra118 Offers a Complete Solution for Model Management

Connectivity, scalability and functionality are the cornerstones of the Unified Wealth Platform from Tegra118, enabling asset managers to support all of their products on one hosted solution. Tegra118 provides a proven, reliable platform for asset managers designed to process the high volumes of transactions associated with the managed accounts.

Unified Wealth Platform supports over 85% of all SMA accounts in the industry, processing hundreds of thousands of trades each day across more than 6.7 million portfolios. In addition, hundreds of new accounts are opened daily on the system in real time. The system eliminates redundant and manual processes and increases efficiency and data integrity through automation.

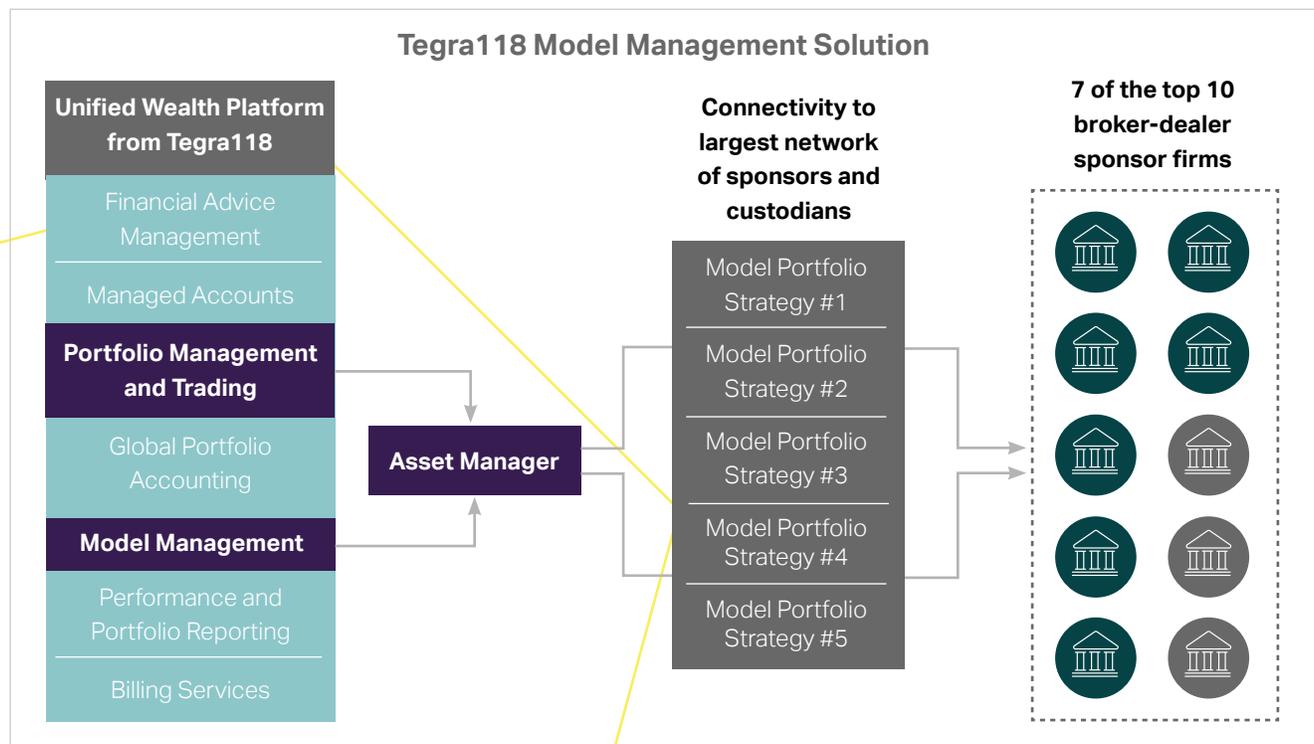
Model Portfolio Distribution for Model Management

Asset managers distributing model portfolios through Tegra118 benefit from unrivaled connectivity to the widest network of custodians and managed account sponsors in the industry, enabling the efficiency and scale necessary for business growth.

Portfolio Management and Trading

With Portfolio Management and Trading on Unified Wealth Platform, financial institutions scale their business and manage multiple functions in a single solution. This includes the entire portfolio management process, from portfolio construction and compliance review to order generation and trade execution.

Using a proprietary optimization model, the platform allows asset managers to create and manage custom portfolios that have



a favorable trade-off between risk and return. Asset managers are able to maintain restrictions on thousands of accounts simultaneously and efficiently.

Portfolio Accounting

Reconciliation plays an important role in how asset managers manage their exposure to risk. Exception data represents a financial risk and an obstacle to timely reporting and account management.

The Unified Wealth Platform from Tegra118 is designed to consolidate reconciliation data for all relationships and custodians into one data repository, which helps reduce costs while increasing reconciliation efficiency.

Performance Reporting

Performance Reporting from Tegra118 includes more than a dozen fixed report templates along with custom options to configure reports to firm branding, color schemes and content to tell their story.

Additionally, custom reports provide:

- A wide variety of customizable report templates with the flexibility to create new templates to meet firm-specific requirements
- The ability to report on and scale across large volumes of accounts
- State-of-the-art design capabilities built on the logic within the Portfolio Management & Trading Solution
- A customizable presentation layer to accommodate business rules and support multiple data feeds along with standard data retrieval requirements

Key Benefits:

- Access all data in one place
- Efficiently export data in Excel or pdf formats
- Control access and report layout
- Create data filters
- Create and post adjustments back to the Tegra118 database

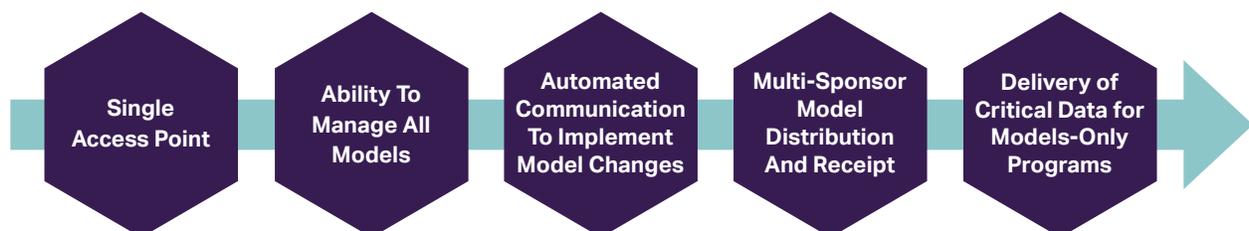
Asset managers can utilize the performance measurement and analytics to prepare effective marketing materials, or demonstrate management styles and returns to clients and prospects. Use this solution to:

- Check composite reporting against managed account sponsors' derived performance numbers
- Calculate performance according to CFA Institute GIPS® standards
- View performance by account, composite or sector
- Automate report generation across all accounts and households and proactively identify data issues before a scheduled report generation

Model Management, Model Exchange and Model Reporting

Unified Wealth Platform from Tegra118 provides advanced technology that automates and supports the management of investment models and facilitates communication of model information from asset managers to sponsors.

Model Management from Tegra118 Drives Efficiency and Growth



Model Management is a workflow-enabled, roles-based technology that allows asset and overlay managers to review models and make edits prior to publishing to the trading system. It is fully integrated with the Tegra118 mutual fund advisory (MFA), rep as portfolio manager (RPM), Separately Managed Accounts and Unified Managed Accounts to enable trading functionalities of Unified Wealth Platform. The Model Management technology from Tegra118 allows asset managers to manage investment models, share models with broker-dealers across the Tegra118 network industry participants, and gives managers access to data through its model reporting capabilities.

Model Exchange

Tegra118 automates and facilitates the communication of model information from managers to sponsors and provides model data reporting through three key solutions.

Armed with this model portfolio technology, integrated on one platform with single sign-on, asset managers can eliminate inefficiencies within the model management segment.

Messaging Services/APIs

Asset managers receive real time alerts of account origination/maintenance functions through messaging services. A scalable, data transmission solution offering clients and third parties a real time single point of entry into their account data and life cycle, employing industry standard technology. The solution provides scalability, improves resource allocation and reduces risk through operational efficiency.

Standard message definitions for common events occurring between managed account participants, otherwise known as client requests, are supported, including:

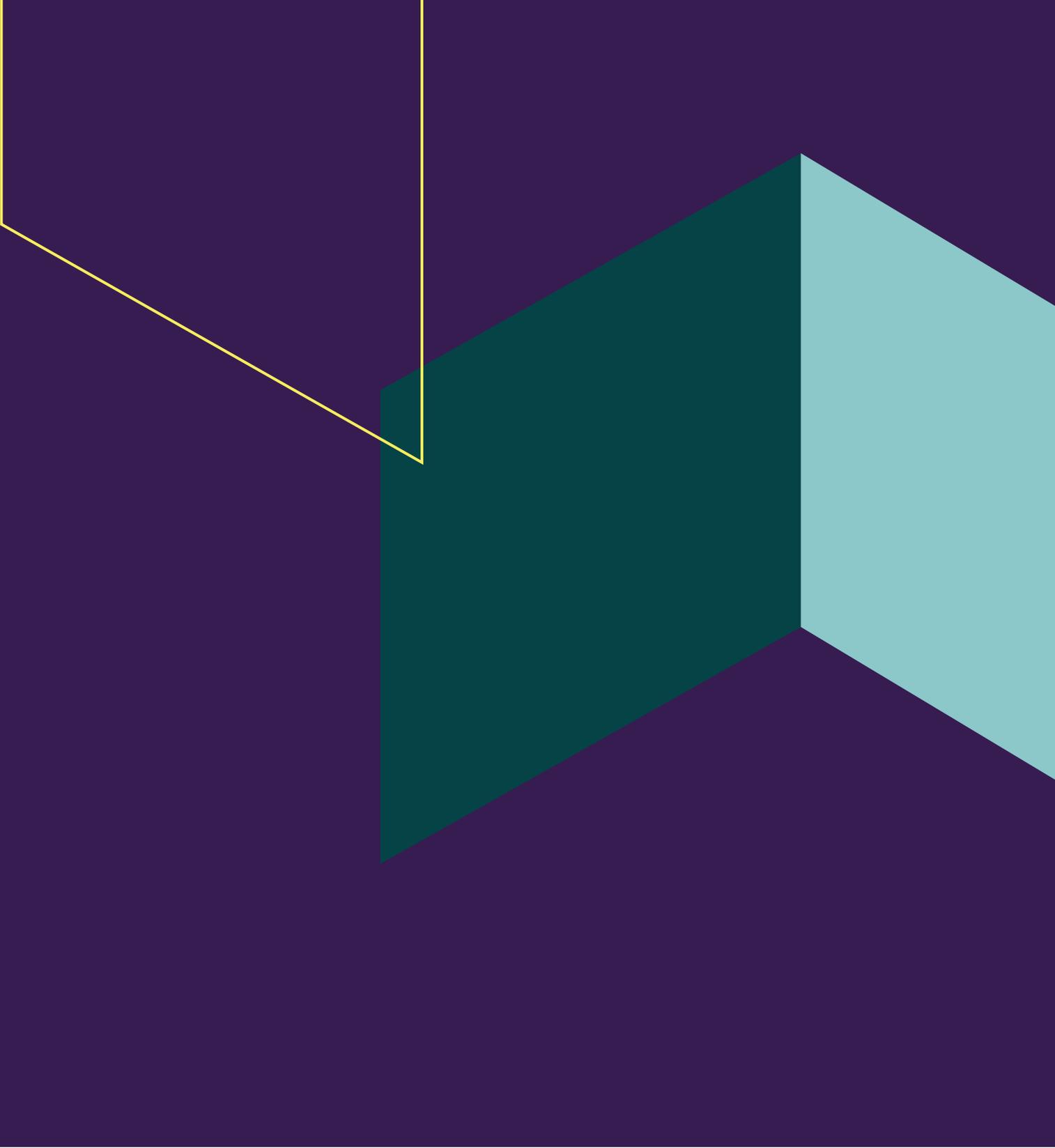
- New account profile
- Authorizations to trade
- Distributions
- Client profile update
- New account funding
- Contributions
- Terminations

Compliance

The platform's pre-trade compliance functionalities support various types of trading restrictions and alerts. These restrictions can be global, semiglobal, or account specific and may restrict all trading, buys only or sells only. Firms may restrict specific securities or groups of securities-based security attributes including social restrictions. Other restrictions include minimum cash restrictions. All of the trading tools and error checking processes are sensitive to these restrictions and trades which violate these restrictions will not be generated or routed. Auditing reports indicate accounts in violation of any restriction.

Connect With Us

For more information about our Model Management Solution, email info@tegra118.com or visit www.tegra118.com.



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